

Conflict Of Interest

Faculty-Staff Presentation

September, 2010

What Is a Conflict of Interest?

Conflict of interest relates to situations in which financial or other personal considerations may compromise, may involve the potential for compromising, or may have the appearance of compromising an employee's objectivity in meeting University duties or responsibilities, including research activities.

- *UNC Policy Manual*

Personal Considerations vs. University Responsibilities

External Personal Considerations:

- Stocks
- Royalties/licensing
- Board of directors
- Consulting
- Develop and patent new technologies
- Family employment or business

University Responsibilities:

- Write and direct research protocols (grants/contracts)
- Publish
- Precept trainees
- Supervise staff
- Develop and patent new technologies

UNC-CH Process To Identify Conflicts of Interest



When proposals are submitted to OSR and when IRB applications are submitted, PI and Co-Is are asked screening questions about conflicts of interest (i.e., self-identification of conflicts)

Initial Screening to Identify COIs

During this research, do you or a family member have or expect to have:

-A personal financial interest/relationship with:

-The study sponsor?

-An entity with the right to commercialize the product/ technology in this project?

-An entity who is a subcontractor, sub-recipient or vendor in this project?

-A board membership with the study sponsor or entity that owns or has the right to commercialize a product, process or technology studied in this project?

Has the University/University-related foundation received a cash or in-kind gift from:

-The sponsor of this study for the use or benefit of you, your research, or a member of your research group?

-An entity that owns/has the right to commercialize a product, process, or technology studied in this project for the use or benefit of you, your research, or a member of your research group?

When There is a Positive Screen..

Sherri Settle (UNC Office of Research Compliance) emails additional COI questions to the faculty member and reviews their responses...If she decides it may be a conflict...

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All information is reviewed by the **School's/College's COI Committee**...If they establish that it is a conflict...

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School/College's COI Committee determines if the conflict is **manageable** (project can go forward under specific conditions)...If it is manageable...

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School/College's COI Committee works with faculty member to put into place a **management plan** which may include **disclosure procedures** and a **monitoring committee**

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Sherri Settle notifies funder that a management plan has been put into place, and then **research funds are released**

SPH COI Committee

- Monthly Meetings
- Committee Members

Associate Dean for Research

Sandra L. Martin (ex officio)

Research Council (includes the Committee Chair)

Diane M. Calleson (PHLP)

Edwin B. Fisher (HBHE)

Penny Gordon-Larsen (NUTR)

Jon Hussey (MHCH)

Danyu Lin (BIOS)

Stephen W. Marshall (EPID)

Leena A. Nylander-French (ESE) – Chair of the COI Committee

R. Gary Rozier (HPM)

Leadership Team Member

Charlotte Nuñez-Wolff (Associate Dean for Business & Finance)

UNC Research Compliance Program (ex officio)

Sherrie Settle

Assistant Director for the Office of Research (staff liaison)

Tracy C. Kennedy

**Additional COI information is
available at:**

http://www.sph.unc.edu/research/sph_conflict_of_interest_committee_15717_11093.html

and

<https://cfx3.research.unc.edu/coi>

Thanks!